



MEMORANDUM – 2026-016

TO : ALL TRADING PARTICIPANTS

SUBJECT : EMPLOYEE MOVEMENT

DATE : 04 August 2026

Pursuant to **Art. IV., Sec. 5 of the CMIC Rules on Director, Officer, Agent or Employee Movement**, Trading Participants shall notify CMIC of any director, officer, agent or Employee movement, whether hiring, resignation, re-designation, or termination of employment, within 30 days from effectivity thereof.

Accordingly, attached is the list of personnel movement submitted to CMIC as of 31 July 2026.

For information and guidance.

(original signed)

GERARD B. SANVICTORES

President

ACD	FHRAD	IED	SD

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
3-Aug-26	FERNANDEZ	JAZLYN H.	-	TRAINEE	H.E. BENNETT SECURITIES, INC.	NEW EMPLOYMENT
29-Jul-26	USITA IV	JOSEPH KEITH C.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
29-Jul-26	CASAS	JOSE RAMON A.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
29-Jul-26	CASAS	VICTORIA CHRISTIAN R.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
27-Jul-26	CALIPAY	THEREZA MARIE V.	-	NEW ACCOUNTS ASSOCIATE	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
27-Jul-26	AVECILLA	RIANNE RUBY ANTOINETTE A.	-	JUNIOR ACCOUNTANT	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
24-Jul-26	BRAVO	JIMMY D.	FINANCE HEAD	-	EAST WEST CAPITAL CORPORATION	RESIGNATION (cleared)
24-Jul-26	REALIZAN	MARK ANGELO A.	-	INDEPENDENT DIRECTOR, BOARD COMMITTEE: AUDIT RISK & COMPLIANCE – CHAIRPERSON NOMINATION, REMUNERATION & GOVERNANCE -MEMBER	GLOBALINKS SECURITIES & STOCKS, INC.	NEW EMPLOYMENT
22-Jul-26	PATAJO	MIGUEL DOMINGO B.	TRADING MANAGER	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
21-Jul-26	GO	DANIEL CHRISTOPHER P.	HEAD OF SHORT-TERM TRADING	-	CTS GLOBAL EQUITY GROUP, INC.	RESIGNATION (clearance under process)
20-Jul-26	DE GUZMAN	ARSENIO M.	-	CERTIFIED UITF SPECIALIST	COL FINANCIAL GROUP, INC.	NEW EMPLOYMENT
20-Jul-26	MANABAT	ALVIN GABRIEL C.	-	IT SUPPORT SPECIALIST	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
17-Jul-26	GLORIA	FRANCIS ANTON C.	CERTIFIED UITF SPECIALIST	CERTIFIED FUND SPECIALIST	COL FINANCIAL GROUP, INC.	RE-DESIGNATION

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
15-Jul-26	GASPAR	FE R.	SENIOR FINANCE MANAGER	FINANCE & ACCOUNTING MANAGER	OPTIMUM SECURITIES CORPORATION	RE-DESIGNATION
15-Jul-26	MARTE	JEZZELYN B.	SETTLEMENT ASSISTANT	SETTLEMENT HEAD	OPTIMUM SECURITIES CORPORATION	RE-DESIGNATION
15-Jul-26	PALOMIQUE	ROCHELL B.	ACCOUNTING ASSISTANT	ACCOUNTANT	OPTIMUM SECURITIES CORPORATION	RE-DESIGNATION
15-Jul-26	DIAZ	MICHAEL JONATHAN V.	ACCOUNTANT	SALES ASSISTANT	OPTIMUM SECURITIES CORPORATION	RE-DESIGNATION
15-Jul-26	DE GUZMAN	BEATRICE LORRAINE A.	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
14-Jul-26	TANG	JOSEPH SAMUEL H.	INSTITUTIONAL SALES	-	CLSA PHILIPPINES, INC.	RESIGNATION (clearance under process)
14-Jul-26	NAVARRO	JUSTIN PATRICK M.	-	ASSOCIATE	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
13-Jul-26	LUMIGUEN	MARIA THERESA V.	-	ACCOUNTING ASSISTANT	SUNSECURITIES, INC.	NEW EMPLOYMENT
13-Jul-26	MEDRANO	JHEROME STEVEN J.	-	TRAINEE	A.T. DE CASTRO SECURITIES CORPORATION	NEW EMPLOYMENT
13-Jul-26	HAPITAN	MIKAELA ARRIANE BYNNE T.	-	EQUITY RESEARCH INTERN	BPI SECURITIES CORPORATION	NEW EMPLOYMENT
11-Jul-26	ALVIAR	CLAIRE T.	ASST. MANAGER-RESEARCH & ONLINE ENGAGEMENT	REVENUE PRODUCT MANAGER	PHILSTOCKS FINANCIAL, INC.	RE-DESIGNATION
10-Jul-26	YATCO	ALEXANDRA G.	EQUITY ANALYST	-	REGINA CAPITAL DEVELOPMENT CORPORATION	RESIGNATION (clearance under process)
9-Jul-26	MENDOZA	INENE P.	GENERAL ACCOUNTANT	-	BA SECURITIES, INC.	RESIGNATION (cleared)
8-Jul-26	MONSOD	KARL RANDOLPH H.	-	IT OFFICER	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
7-Jul-26	ALABA	JAN EMMANUEL V.	-	EQUITY RESEARCH ANALYST	BA SECURITIES, INC.	NEW EMPLOYMENT

DATE OF EFFECTIVITY	LAST NAME	FIRST NAME	PREVIOUS POSITION	PRESENT POSITION	TRADING PARTICIPANT	REMARKS
6-Jul-26	BANZON	ZANE MARK LOUIS S.	-	CYBERSECURITY SIEM ANALYST	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT
6-Jul-26	BESMANO	JOSEPH CEZAR	-	HEAD OF COMPLIANCE	UBS SECURITIES PHILIPPINES, INC.	NEW EMPLOYMENT
6-Jul-26	ABAYON	KARYLLE JEANE V.	-	EQUITY ANALYST	THE FIRST RESOURCES MANAGEMENT & SECURITIES	NEW EMPLOYMENT
6-Jul-26	SANCHEZ	LUIS LORENZO M.	-	ASSOCIATED PERSON	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
6-Jul-26	MATSUMOTO	YUTARO	PRESIDENT/ CHAIRMAN/ NOMINEE-APPLICANT	-	SEEDBOX SECURITIES, INC.	RESIGNATION (cleared)
3-Jul-26	JABLA	ELIJAH JUDDD.	CERTIFIED SECURITIES REPRESENTATIVE	-	ABACUS SECURITIES CORPORATION	RESIGNATION (cleared)
2-Jul-26	VERA	MA. MONETTE D.	-	FINANCE CONTROLLER	EAST WEST CAPITAL CORPORATION	NEW EMPLOYMENT
1-Jul-26	LARESMA	MARIA CECILIA G.	-	CORPORATE ACCESS MANAGER	CLSA PHILIPPINES, INC.	NEW EMPLOYMENT
1-Jul-26	DEL ROSARIO	BRIAN CHRISTOPHER Z.	AVP - DIGITAL INNOVATIONS AND RETAIL SALES HEAD	VP - DIGITAL INNOVATIONS AND RETAIL SALES HEAD	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	PEREZ	DAVID M.	M- ASST. OPERATIONS HEAD & DATA PRIVACY OFFICER	SM- ASST. OPERATIONS HEAD & DATA PRIVACY OFFICER	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	HIPOLITO	RONNIE M.	SAM- COMPLIANCE OFFICER/AP/RO	M- COMPLIANCE OFFICER/AP/RO	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	MOVILLA	KAREN G.	JAM-IC, SETTLEMENT UNIT	AM-IC, SETTLEMENT UNIT	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	PIOL	ALVIN L.	FO - SETTLEMENT CLERK	JAM - SETTLEMENT CLERK	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	TAGLUCOP	NOELYN A.	ACCOUNT OPENING SPECIALIST	FO - ACCOUNT OPENING SPECIALIST	RCBC SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	TIMOTEO	SALOME E.	-	DEPARTMENT HEAD - HUMAN RESOURCES	FIRST METRO SECURITIES BROKERAGE CORPORATION	NEW EMPLOYMENT

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1-Jul-26	OH	WHEE MIAN	CORPORATE NOMINEE	CORPORATE NOMINEE AND DIRECTOR	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
1-Jul-26	CUNANAN	DANIELLE V.	-	ACCOUNTING SUPERVISOR	CTS GLOBAL EQUITY GROUP, INC.	NEW EMPLOYMENT
1-Jul-26	CALLANO	RHEMUND T.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jul-26	DACAYANAN	LYKA R.	ACCOUNTANT/ AM2	ACCOUNTANT/ M1	PNB SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	ADORNADO	MARK BONIE A.	TRADER- RETAIL & HIIGH NET WORTH SALES/ AM	TRADER- RETAIL & HIIGH NET WORTH SALES/ AM2	PNB SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	ESPERE	MARIFE C.	TRADER- RETAIL & HIIGH NET WORTH SALES/ SS	TRADER- RETAIL & HIIGH NET WORTH SALES/ AM1	PNB SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	GONZALES	JENNIFER U.	OIC, SETTLEMENT HEAD	SETTLEMENT HEAD	PNB SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	ARQUINES	FAITH D.	ACCOUNTING ASSOCIATE/ A	ACCOUNTING ASSOCIATE/ SA	PNB SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	MONTUYA	JOHN MICHAEL	ADMINISTRATIVE ASSOCIATE/ A	ADMINISTRATIVE ASSOCIATE/ SA	PNB SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	VIZMANOS	KRIZZA B.	-	RESEARCH ANALYST, FMS-EMRD, CBC	CHINA BANK SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jul-26	FERNANDEZ	MARIA CONCEPCION Y.	PRESIDENT/ NOMINEE AND HEAD OF SALES	PRESIDENT/ NOMINEE/ HEAD OF SALES AND DIRECTOR	UNICAPITAL SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	CABILES	ADORA C.	-	ASSOCIATED PERSON/ COMPLIANCE OFFICER	SINCERE SECURITIES CORPORATION	NEW EMPLOYMENT
1-Jul-26	CHUNG	ARTHUR VINCENT D.	DIRECTOR	INDEPENDENT DIRECTOR	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	RE-DESIGNATION
1-Jul-26	MAMANGUN	JOSEPH ADRIAN V.	TECHNOLOGY SUPPORT SPECIALIST	PERA ADMINISTRATION LEAD	CABALLES-GO SECURITIES, INC.	RE-DESIGNATION
1-Jul-26	TAN	LANCE KENRICK S.	-	SALESMAN	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT

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1-Jul-26	TAN	MARINA C.	-	SALESMAN	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-26	TAN	CHASE FREDRICK A.	-	SALESMAN	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
1-Jul-26	CABUGNASON	ARVIN R.	-	INSTITUTIONAL DEALER	SEEDBOX SECURITIES, INC.	NEW EMPLOYMENT
30-Jun-26	HAGURING	MARY GRACE S.	SECTION HEAD - NEW ACCOUNTS	-	FIRST METRO SECURITIES BROKERAGE CORPORATION	RESIGNATION (clearance under process)
30-Jun-26	TAN	CHEK TECK	INDEPENDENT DIRECTOR	-	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
30-Jun-26	SAN JOSE	JOEL A.	TRADER	-	UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.	RESIGNATION (cleared)
30-Jun-26	CANDIDO	EMMALYN B.	OPERATIONS ASSISTANT	OPERATIONS OFFICER	BDO SECURITIES CORPORATION	RE-DESIGNATION
30-Jun-26	SUERTE FELIPE	JEAN LEI B.	ADMIN SETTLEMENT CLERK	-	OPTIMUM SECURITIES CORPORATION	RESIGNATION (cleared)
30-Jun-26	CAPUZ	JULIE FE P.	-	SETTLEMENT CLERK	OPTIMUM SECURITIES CORPORATION	NEW EMPLOYMENT
30-Jun-26	SALCEDO	ELVIRA L.	ASSOCIATED PERSON	-	SINCERE SECURITIES CORPORATION	RESIGNATION (cleared)
30-Jun-26	FAJARDO	MARIZA D	SALESMAN/ DEALING AND EXECUTION LEAD	-	SEEDBOX SECURITIES, INC.	RESIGNATION (cleared)
30-Jun-26	ROSAL	ROSALIE A.	ACCOUNTING ASSOCIATE	-	MERIDIAN SECURITIES, INC.	RESIGNATION (cleared)
29-Jun-26	CAMCAM	NOAH GABRIEL S.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	FRANCO	JAZZAMINE JANE D.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	MEDALLA	SOPHIA S.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT

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29-Jun-26	MOÑOZCA	KATRINA MARIE I.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	TRANCE	JAN KERBY M.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	DELA CRUZ	GABRIELLE ANNE D.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	ESPEJO	SOFI JULIANNE C.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	MENDOZA	MARIGOLD N.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	OBLÉNIDA	ADRIELLE ELIJAH C.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	YU	KATRINA LORAINÉ T.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	CRUZ	FRANCESCA YSABELLA C.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	RAMOS	ALETHEIA ISABEL M.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	TAN	WESLEY JOHN T.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	YU	JANELLE RICCA L.	-	ACCOUNT OFFICER	BDO SECURITIES CORPORATION	NEW EMPLOYMENT
29-Jun-26	MAHADZIR	EZRINA B.	NON EXECUTIVE DIRECTOR	-	MAYBANK SECURITIES, INC.	RESIGNATION (no clearance required)
29-Jun-26	MARCELO	FELINO J.	-	NON EXECUTIVE DIRECTOR	MAYBANK SECURITIES, INC.	NEW EMPLOYMENT
25-Jun-26	LEGASPI	JOSH FREDRIK B.	-	INVESTMENT SUPPORT SPECIALIST	DRAGONFI SECURITIES, INC.	NEW EMPLOYMENT
24-Jun-26	SOO	JIMMY S.	CORPORATE SECRETARY	-	ABACUS SECURITIES CORPORATION	RESIGNATION (no clearance required)

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24-Jun-26	ELEAZAR	YOLANDA M.	DIRECTOR	-	ABACUS SECURITIES CORPORATION	RESIGNATION (no clearance required)
24-Jun-26	DELA CRUZ	MELANIO C.	TREASURER, CFO	-	ABACUS SECURITIES CORPORATION	RESIGNATION (no clearance required)
24-Jun-26	VILLAR	DENNIS V.	CREDIT & COLLECTION OFFICER	CREDIT & COLLECTION OFFICER/ TREASURER, CFO	ABACUS SECURITIES CORPORATION	RE-DESIGNATION
24-Jun-26	SORIANO	MILAGROS E.	-	CORPORATE SECRETARY	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
24-Jun-26	SALVADOR	JULIE ANN D.	-	DIRECTOR	ABACUS SECURITIES CORPORATION	NEW EMPLOYMENT
22-Jun-26	VERGARA	MELVIN O.	PRESIDENT/ INTERIM ASSOCIATED PERSON	PRESIDENT	CABALLES-GO SECURITIES, INC.	RE-DESIGNATION
22-Jun-26	ALCARAZ	PEPITO L.	-	ASSOCIATED PERSON / COMPLIANCE OFFICER	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
16-Jun-26	BESID	JAN CARLO B.	-	SECURITIES TRADING SPECIALIST	SB EQUITIES, INC.	NEW EMPLOYMENT
16-Jun-26	STA. ANA	JEMERALD JOSUE M.	-	MESSENGER COLLECTOR	QUALITY INVESTMENTS & SECURITIES CORP.	NEW EMPLOYMENT
16-Jun-26	BACTUNG	ZYNNCLYRR P.	HR SUPERVISOR	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)
15-Jun-26	NOREGA	ERMEN G.	-	CLIENT SUPPORT SERVICES SPECIALIST	CABALLES-GO SECURITIES, INC.	NEW EMPLOYMENT
15-Jun-26	DELLOSA	RYAN B.	ASSOCIATED PERSON	ASSOCIATED PERSON/ DATA PROTECTION OFFICER	META CAPITAL SECURITIES, INC.	RE-DESIGNATION
13-Jun-26	MONSOD	KARL RANDOLPH H.	SENIOR IT ANALYST	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
12-Jun-26	DIAZ	CHRISTIAN CARL P.	ASSOCIATED PERSON/ COMPLIANCE OFFICER	-	LUNA SECURITIES, INC.	RESIGNATION (clearance under process)
11-Jun-26	GO	KEAN PATRICK V.	CORPORATE DEVELOPMENT ASSOCIATE	-	CABALLES-GO SECURITIES, INC.	RESIGNATION (cleared)

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8-Jun-26	MOJICA	ANDREL V.	TECHNOLOGY SUPPORT SPECIALIST	DATA PROTECTION & INFORMATION SECURITY SPECIALIST	CABALLES-GO SECURITIES, INC.	RE-DESIGNATION
6-Jun-26	VERGARA	MELVIN O.	PRESIDENT	PRESIDENT/ INTERIM ASSOCIATED PERSON	CABALLES-GO SECURITIES, INC.	RE-DESIGNATION
5-Jun-26	DELLOSA	RYAN B.	ASSOCIATED PERSON/ COMPLIANCE OFFICER/ DPO	-	CABALLES-GO SECURITIES, INC.	RESIGNATION (cleared)
1-Jun-26	ESTRADA	JONATHAN R.	RESEARCH ASSOCIATE	-	CLSA PHILIPPINES, INC.	UPDATE: RESIGNATION (cleared)
30-May-26	LAGUMAY	HERSHEY S.	OPERATIONS SUPERVISOR	-	COL FINANCIAL GROUP, INC.	UPDATE: RESIGNATION (cleared)